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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/618,603

Confirmation No. : 5348

First Named Inventor

Naoki MATSUMOTO

Filed

July 15, 2003

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: 1763

Examiner

: Unassigned

Docket No.

: 101249.52600US

Customer No.

: 23911

Title

Plasma Processing Apparatus

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § \$ 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

English abstracts are submitted herewith for the references listed on the PTO 1449 as AA and AB.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be

appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

November 18, 2004

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Respectfully submitted,

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of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO 10/618,603 **Application Number** INFORMATION DISCLOSURE July 15, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Naoki MATSUMOTO Art Unit 1763

Examiner Name

Attorney Docket Number

(use as many sheets as necessary)

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Sheet

U.S. PATENT DOCUMENTS								
Examiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear			
		US-						
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		FOREIG	N PATENT DOC	UMENTS	•••	
	,	Foreign Patent Document Country Code ³ –Number ⁴ –Kind Code ⁵ (<i>if known</i>)			Pages, Columns, Lines, Where Relevant Passages or	Τ ⁶
Examiner Initials'	Cite No.1		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Figures Appear	
	AA	JP 07-045598	02-14-1995	Kokusai Electric Co., Ltd.		AB
	AB	JP 11-214196	08-06-1999	Mitsubishi Electric Corp. and Mitsubishi Electric Engineering Co. Ltd.		AB

Examiner	7.7	Date	
Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.